

Receipt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

Applicant(s): Gregg B. Fields, et al.

Group Art Unit: 1641

Serial No.: 09/529,691

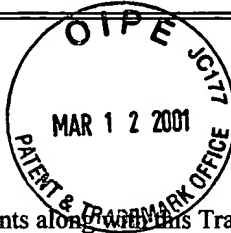
Examiner: Unknown

Filed: August 29, 2000 (Int'l Filing Date: Oct. 22, 1998)

Docket No.: 110.00680101

Title: INHIBITION OF TUMOR CELL ADHESION TO TYPE IV COLLAGEN

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231



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JUN 27 2001

TECH CENTER 1600/2900

We are transmitting the following documents along with this Transmittal Sheet:

☒ **Small entity status is entitled to be asserted in the above-identified application.**

☒ A return postcard.

☐ A Petition for Extension of Time for \_\_ month(s) and a check in the amount of \$\_\_ for the required fee.

☐ An Information Disclosure Statement (\_\_ pgs); copies of \_\_ applications; 1449 forms (\_\_ pgs); and copies of \_\_ documents cited on the 1449 forms.

☐ A check in the amount of \$\_\_, representing \_\_.

☐ A certified copy of a \_\_ application, Serial No. \_\_, filed \_\_\_\_, the right of priority of which is claimed under 35 U.S.C. §119.

☒ Other: Request for Corrected Filing Receipt (2 pgs), red-marked copy of Filing Receipt, copy of Combined Declaration and Power of Attorney and copy of first page of Preliminary Amendment.

☐ Amendment ☐ No Additional fee is required. ☐ The fee has been calculated as shown:

Fee Calculation for Claims Pending After Amendment					
	Pending Claims after Amendment (1)	Claims Paid for Earlier (2)	Number of Additional Claims (1-2)	Cost per Additional Claim	Additional Fees Required
Total Claims				x \$9 =	
Independent Claims				x \$40 =	
One or More New Multiple Dependent Claims Presented? If Yes, Add \$135 Here →					
Total Additional Claim Fees Required					

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

**CERTIFICATE UNDER 37 C.F.R. §1.8:** The undersigned hereby certifies that this Transmittal Letter and the paper(s), as described hereinabove, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, OIPE Customer Service Center, Washington, D.C. 20231, on this 9 day of March, 2001.

MUETING, RAASCH & GEBHARDT, P.A.  
Customer Number: 26813

By:   
Name: Ann M. Mueeting  
Reg. No.: 33,977  
Direct Dial: 612-305-1217  
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(SMALL ENTITY TRANSMITTAL UNDER RULE 1.8)



PATENT  
Docket No. 110.00680101  
**RECEIVED**  
JUN 27 2001  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Gregg B. Fields, et al. ) Group Art Unit: 1641  
Serial No.: 09/529,691 ) Examiner: Unknown  
Filed: August 29, 2000 ) Int'l Filing Date: 22 October 1998  
For: INHIBITION OF TUMOR CELL ADHESION TO TYPE IV COLLAGEN

**REQUEST FOR CORRECTED FILING RECEIPT**

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Sir/Madam:

Upon review of the Filing Receipt received from the U.S. Patent and Trademark Office in connection with the above-identified application, the following error was noted.

The Filing Receipt fails to include U.S. Provisional Patent Application Nos. 60/062,617 and 60/062,716 in the list of continuing data as claimed by the Applicant. These U.S. provisional patent applications should be listed under "CONTINUING DATA AS CLAIMED BY APPLICANT", together with the reference to International Application No. PCT/US98/22405, as follows:

THIS APPLN IS A 371 OF PCT/US98/22405 10/22/1998  
WHICH CLAIMS THE BENEFIT OF 60/062,617 10/22/1997  
AND WHICH CLAIMS THE BENEFIT OF 60/062,716 10/22/1997

Refer to the enclosed copies of the executed Combined Declaration and Power of Attorney filed on August 29, 2000, and the first page of the Preliminary Amendment filed with the application on April 18, 2000. A copy of the Filing Receipt with the requested correction marked in red is also enclosed. Applicants respectfully request a corrected Filing Receipt.

**Request for Corrected Filing Receipt**

Page 2 of 2

Applicant(s): Gregg B. Fields, et al.

Serial No.: 09/529,691

Filed: August 29, 2000

For: INHIBITION OF TUMOR CELL ADHESION TYPE IV COLLAGEN

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If there are any questions regarding this submission, please contact Applicants' Representative at the below-listed telephone number.

**CERTIFICATE UNDER 37 C.F.R. 1.8:**

The undersigned hereby certifies that this paper is being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, OIPE Customer Service Center, Washington, D.C. 20231, on this 9 day of March, 2001.

Respectfully submitted,  
GREGG B. FIELDS, ET AL.  
By their Representatives,  
Mueiting, Raasch & Gebhardt, P.A.  
P.O. Box 581415  
Minneapolis, MN 55458-1415  
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**Customer Number 26813**

Date

March 9, 2001

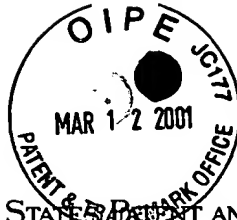
By:

Ann M. Mueiting

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WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/529,691	08/29/2000	1641	651	110.00680101	4	19	2

Ann M Mueting  
Mueting Raasch & Gebhardt  
PO Box 581415  
Minneapolis, MN 55458-14151 yr status ✓  
4-18-01

## FILING RECEIPT



\*OC000000005643888\*

COPY

Date Mailed: 12/29/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Gregg B. Fields, Boca Raton, FL ;  
James B. McCarthy, Minneapolis, MN ;

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A 371 OF PCT/US98/22405 10/22/1998  
WHICH CLAIMS THE BENEFIT OF 60/062,617 10/22/1997  
AND WHICH CLAIMS THE BENEFIT OF 60/062,716 10/22/1997

## Foreign Applications

If Required, Foreign Filing License Granted 12/28/2000

\*\* SMALL ENTITY \*\*

## Title

Inhibition of tumor cell adhesion to type iv collagen

## Preliminary Class

435

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JAN 08 2001

MUETING AND RAASCH

Data entry by : ORDENEZ, MARTA

Team : OIPE

Date: 12/29/2000



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WASHINGTON, D.C. 20231  
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Bib Data Sheet

CONFIRMATION NO. 3203

<b>SERIAL NUMBER</b> 09/529,691	<b>FILING DATE</b> 08/29/2000 <b>RULE</b>	<b>CLASS</b>	<b>GROUP ART UNIT</b> 1642	<b>ATTORNEY DOCKET NO.</b> 110.00680101
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**APPLICANTS**  
Gregg B. Fields, Boca Raton, FL;  
James B. McCarthy, Minneapolis, MN;

**\*\* CONTINUING DATA \*\*\*\*\***  
THIS APPLICATION IS A 371 OF PCT/US98/22405 10/22/1998  
WHICH CLAIMS BENEFIT OF 60/062,617 10/22/1997  
WHICH CLAIMS BENEFIT OF 60/062,716 10/22/1997

**\*\* FOREIGN APPLICATIONS \*\*\*\*\***

**IF REQUIRED, FOREIGN FILING LICENSE GRANTED \*\* 12/28/2000** **\*\* SMALL ENTITY \*\***

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY FL	SHEETS DRAWING 4	TOTAL CLAIMS 19	INDEPENDENT CLAIMS 2
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature _____ Initials _____				

**ADDRESS**  
Ann M Mueeting  
Mueeting Raasch & Gebhardt  
PO Box 581415  
Minneapolis ,MN 55458-1415

**TITLE**  
Inhibition of tumor cell adhesion to type iv collagen

<b>FILING FEE RECEIVED</b> 651	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit

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